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UNIVERSITY

The Center for International Financial Services and Markets  
of the  
Frank G. Zarb School of Business  
and the Hofstra Cultural Center  
present

**IMPACT INVESTING:  
From Private Equity To Microfinance**  
**Monday, April 29, 2013**  
**Noon-8:30 p.m.**

Impact investing continues to increase in popularity as investors demand that companies focus on long-range sustainability and strong corporate governance. During stock selection, asset managers are increasingly examining the environmental, social and governance records of companies. Corporate managers are expending resources to enhance their social responsibility reports, thereby highlighting their commitment to sustainable growth. This conference features a range of experts who will discuss recent trends in impact investing and its influence on corporate behavior and the social environment.

**Conference Agenda**

*(All events take place at the Hofstra University Club, David S. Mack Hall, North Campus, unless otherwise noted.)*

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| <b>Noon-1:30 p.m.</b> | <b>Executive Luncheon Speaker</b><br><b>Kai-Yan Lee</b> , <i>Chief U.S. Representative, China Vanke Ltd.</i>   |
| <b>1:30-3 p.m.</b>    | <b>Impact Investing: Corporate, Regulatory and Academic</b><br>Moderator: <b>Esmeralda Lyn</b> , <i>Professor Emeritus and Chair of the Institute for Women's Policy Research, Washington, D.C.</i><br><b>Lauren Koopman</b> , <i>Director, U.S. Sustainable Business Solutions, PricewaterhouseCoopers LLP</i><br><b>Lydia Doll</b> , <i>Senior Associate, Corporate Responsibility, NYSE Euronext</i><br><b>Abigail Noble</b> , <i>Investors Industries, World Economic Forum</i>  |
| <b>3-4:45 p.m.</b>    | <b>Impact Investing: Microfinance and Outreach</b><br>Moderator: <b>Carole Jurkiewicz</b> , <i>Professor of Management, Entrepreneurship &amp; General Business, Hofstra University</i><br><b>Timothy Ogden</b> , <i>Managing Director, Financial Access Initiative, NYU</i><br><b>Amy Feldman</b> , <i>Director of Programs, Business Outreach Center Network</i><br><b>Timothy Rudd</b> , <i>Research Associate, MDRC</i><br><b>Scott Budde</b> , <i>CEO, Better Harvest Federal Credit Union</i><br><b>Location: Greenhouse, Mack Student Center, Lower Level, North Campus</b> |
| <b>4:45-5:45 p.m.</b> | <b>Special Address by the Joseph G. Astman Distinguished Conference Scholar:</b><br><b>Media Coverage of Microfinance in India</b><br><b>Paranjoy Thakurta</b> , <i>Journalist and Television Anchor, "India Talks"</i><br><b>Location: Greenhouse, Mack Student Center, Lower Level, North Campus</b>   |
| <b>6-7 p.m.</b>       | <b>Cocktails</b>   |
| <b>7-8:30 p.m.</b>    | <b>Keynote Dinner Speaker</b><br>Introductions: <b>Patrick Socci</b> , <i>Dean, Frank G. Zarb School of Business and</i><br><b>Salvatore Sodano</b> , <i>Special Executive Advisor to the President, Hofstra University</i><br><b>Vincent Molinari</b> , <i>Founder and CEO, Gate Technologies</i>   |



**Kai-Yan Lee** currently serves as the Chief US Representative of China, Vanke Ltd., which he joined in March 2013. However, Mr. Lee has a decade of diverse and global experience in real estate, infrastructure, and urban development sectors. His career passion is in finding comprehensive development solutions that are financially profitable, socially responsible, and environmentally sustainable. His areas of specializations include: the real estate financial market, urban development, regional economy, sustainability, development strategy and policy, infrastructure, investments and related financial regulations, and public private partnerships. Mr. Lee earned a Master of Public Policy at Harvard University, a Master of City Planning at the Massachusetts Institute of Technology, and a Bachelor of Arts in Architecture at the University of California, Berkeley.



**Lauren Koopman, Director, US Sustainable Business Solutions at PwC** is a Director in the Sustainable Business Solutions practice of PwC based in New York. She leads work on sustainability strategy for financial institutions. She has 14 years of experience working with corporations to enhance revenue and mitigate risk. Lauren currently works with private equity firms to integrate sustainability into investment decisions and throughout the existing portfolio. As a management consultant, she has worked to monetize environmental assets for corporations and financial institutions. As an investment banker, she has structured financial products to hedge portfolio and transaction-specific risk. Lauren has a Masters in Environmental Engineering, an MBA in Finance, and a BA in Public Policy.



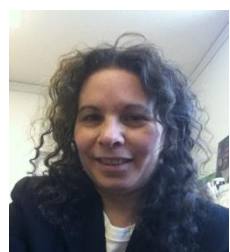
**Lydia Doll, Senior Associate, NYSE Euronext Corporate Responsibility Team**, is involved in all aspects of NYSE Euronext's internal and external corporate responsibility (CR) policies and initiatives, supporting programs that leverage and enhance efforts of NYSE Euronext listed companies, promoting collaboration and cooperation on issues of common interest. Lydia is responsible for many of the company's global CR efforts, including leading the company's global Corporate Responsibility reporting and organizing the NYSE Community Volunteers Program. Lydia has been a part of the CR team since it was founded in 2010, transitioning from a previous role working with electronic trading products. She has helped develop the company's CR strategy and build the CR function. Lydia has a B.A. in Economics from Barnard College of Columbia University.



**Abigail Noble, Investors Industries, World Economic Forum** leads the World Economic Forum's work on Impact Investing and New Economic Thinking. In particular, she is focused on how changing global economic systems, cutting edge academic research, and innovative policy mechanisms are creating more inclusive societies. She is also exploring the evolution of alternative investment asset classes (private equity, venture capital, hedge funds) and what the impact will be over the next decade on markets. Since 2010, she served as the Head of Latin America and Africa for the Schwab Foundation for Social Entrepreneurship. She received her B.A. in Economics from Tufts University, her Master's in International Development (MPA/ID) from the Harvard Kennedy School of Government and a Fulbright Scholarship to study democracy and economic development in Uruguay.



**Timothy Ogden, Managing Director, Financial Access Initiative (FAI)** coordinates FAI's operations, research, and communications. His previous work experience encompasses the private and nonprofit sectors. Prior to joining the Financial Access Initiative he was the Chief Knowledge Officer at Geneva Global, Inc., an international philanthropy advisory company, and founding editor of Gartner Press. He founded and currently leads Sona Partners, a thought leadership communications firm, where he has helped develop more than 20 books for major publishers. Ogden is co-author of "Toyota Under Fire," and author of the forthcoming "Experimental Conversations," a collection of interviews with economists conducting field experiments on poverty alleviation interventions. Ogden also serves as Editor-in-Chief of "Philanthropy Action," as a contributing editor for *Alliance Magazine*, and writes regularly for the *Stanford Social Innovation Review*.



**Amy Feldman** is the Director of Programs at the Business Outreach Center (BOC) Network, a nonprofit organization that provides underserved micro-entrepreneurs and community business owners in New York City. The BOC Network provides access to one-on-one counseling, training, and workshops and finances these groups to start or grow their businesses successfully. Prior to joining BOC, Amy was a Program Officer at the Citi Foundation, where she managed over \$90 million in philanthropic investments to more than 2,000 economic empowerment programs across 60 countries from 2005-2010. Previously, she was the Director of Corporate Relations for Viacom, where she was employed for 13 years.



**Timothy Rudd, Research Associate at MDRC**, analyzes the cost and effectiveness of programs across MDRC's policy areas (higher education, criminal justice, employment, K-12, and pre-K) using a variety of frames, including benefit-cost analysis. Additionally, he has played a role in the negotiations of the financing for the first Social Impact Bond in the United States. He has six years of experience in policy and research, including three years with the New York City Office of Management and Budget. He holds a master's of public administration from the Maxwell School of Public Administration with a concentration in state and local finance.



**Scott Budde, CEO, Better Harvest Federal Credit Union**, has over 29 years of experience in a wide range of financial services and investment management positions, with a focus on bringing social and impact investing strategies to a wider range of investors. He is currently researching the formation of Better Harvest Federal Credit Union (in organization) - the first depository in the USA to be focused primarily on small-scale sustainable agriculture. He joined TIAA-CREF in 1994 and served as a managing Director and Portfolio Manager from 2000 to 2006, and Global Social and Community Investing from 2006 to 2012. Scott also serves on the Advisory Boards of Slow Money NYC, the Fair Food Fund and Community Investment Management LLC. Scott holds the Chartered Financial Analyst (CFA) designation and was a Fulbright Scholar in Hungary. He is the author of "Compelling Returns: a practical guide to Socially Responsible Investing Strategies" published by Wiley and Sons in 2008. He has an A.B. in Economics from Bowdoin College and a Masters in International Affairs from Columbia University.



**Paranjoy Thakurta** is an independent journalist, educator, TV anchor, interviewer and commentator whose career has spanned over 35 years in India. He hosts the Lok Sabha TV in India which is the equivalent of C-Span in the U.S. Mr. Thakurta wrote and produced, "The Great Indian Telecom Robbery" which led to a political scandal when the Supreme Court invalidated 122 broadband licenses in 2012. In 2012, he also co-directed a film titled "Freedom Song," that examines freedom of expression in a contemporary Indian context. His most recent documentary, titled "Coal Curse" premiered recently, a project supported by GreenPeace that examines the state of the coal industry in India. He is the author of many books including "Media Ethics: Truth, Fairness and Objectivity, Making and Breaking News" published by Oxford University Press India in March 2009 ; the second enlarged edition of the book was published in December 2011.

He was the founder director of the School of Convergence (SoC) and is currently president of the Foundation for Media Professionals, an independent, not-for-profit organization. Mr. Thakurta teaches regularly as a visiting professor at several of the leading colleges in India, including the University of Delhi and the Indian Institutes of Management at Ahmedabad, Bangalore and Kolkata. Mr. Thakurta was educated at St. Stephen's College, graduated from the University of Delhi in 1975 and the Delhi School of Economics in 1977.



**Vincent Molinari, CEO Gate Technologies**, is founder and chief executive officer of GATE Technologies. Vince has been the driving force behind GATE's mission to create new market infrastructure that brings efficiency, transparency, and liquidity to the unstructured global alternative asset markets. He is responsible for GATE's strategic planning and business initiatives, including corporate alliances and strategic partnerships. His vision is based on a core belief that actionable knowledge and information drive investments, and that technology can close the gap between traditional and emerging alternative markets, a commitment reflected in the company's initiatives in Impact Investing and emerging geographies such as India, Brazil, Europe, and Asia.

Vince is also the founder of Global Access Holdings LLC, a financial media and analytics company, which identified a global trend line of illiquid securities and the potential market need for alternative asset trading platforms. Prior to Global Access Holdings, he was chairman & CEO of Burlington Capital Markets LLC, a financial services company specializing in institutional execution services and investment banking activities. In addition, he founded Inculab, a technology business incubator. Mr. Molinari began his career at Lehman Brothers Inc., and has also held senior positions at Janney Montgomery Scott Inc., and Ridgewood Capital. He serves as a board member of Transfer Online Inc. and BigShare Services Pvt., Ltd., and holds a B.B.A. in international business from the Frank G. Zarb School of Business at Hofstra University.

## Moderators:



**Dr. Esmeralda Lyn** is currently the Board Chairperson of the Institute for Women's Policy Research, the premier think tank on women's issues. Dr. Lyn is recently retired from Hofstra University as the C.V. Starr Distinguished Professor of Finance and International Financial Services. She served as Vice Dean of the Zarb School of Business. She has also served as chairperson of the Department of Finance and as co-founding director of the Center for International Financial Services and Markets. Her areas of specialization include mergers and acquisitions, socially responsible investing, international finance, and corporate governance. She has given talks and conducted classes, executive seminars and workshops in the United States and abroad. Dr. Lyn has been the editor-in-chief of *Corporate Finance Review* and has published numerous articles in national and international academic journals. She has been a finance officer at the United Nations and also has previous experience at Integrated Resources and Smith Barney Shearson. Dr. Lyn received a Ph.D. in business, major in Finance, from the City University of New York-Baruch College.



**Carole L. Jurkiewicz**, Ph.D. is Professor of Management, Entrepreneurship, and General Business at the Frank G. Zarb School of Business at Hofstra University. Her work focuses upon organizational performance as a function of employee ethicality and organizational structure. She has published over a hundred books, scholarly articles, and chapters in the areas of organizational and individual performance, ethics, power, and leadership, bringing to her academic career many years as an executive in private and nonprofit organizations, a consultant to governments, and an active volunteer with various organizations.

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**Conference Chair: Nancy White**

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**Anoop Rai**, Director of the Center